| SEC Form 4 |
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: 3235-028     |     |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|
| Estimated average burden |     |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |  |

| Instruction 1(t               | ay continue. See<br>b).     |                 | Filed pursuant to S     | Section 16(a) of the Securities Exchange Act of 19 |                       | hours per response: 0.5  |            | 0.5   |  |
|-------------------------------|-----------------------------|-----------------|-------------------------|--|-----------------------|--|------------|---|--|
|                               | ,                           |                 |                         | 30(h) of the Investment Company Act of 1940        |                       | -  |            |   |  |
| TRANSIER<br>(Last)            | 05 W SAM HOUSTON PARKWAY N. |                 | <u>НЕLIX</u><br>INC [ н | Earliest Transaction (Month/Day/Year)              | 1 1 1 1               | ationship of Re<br>k all applicable<br>Director<br>Officer (give<br>below) | 10% Owner  |   |  |
| (Street)<br>HOUSTON<br>(City) | TX<br>(State)               | 77043<br>(Zip)  | 4. If Amend             | lment, Date of Original Filed (Month/Day/Year)     | 6. Indi<br>Line)<br>X | Form filed b   | oy One Rep | g (Check Applic<br>orting Person<br>n One Reportinç |  |
|                               |                             | Table I - Non-I | Derivative Secu         | rities Acquired. Disposed of. or Ber               | eficially             | / Owned  |            |   |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |  |                      |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-----------------------------|--|----------------------|---------------|--------|---|---|---|
|                                 |  |   | Code V                      |  | Amount               | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   | (   |
| Common Stock                    | 12/12/2020                                 |   | F                           |  | 6,079 <sup>(1)</sup> | D             | \$4.19 | 139,058   | D   |   |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |                 |     |   |                    |             |  |                                      |  |  |  |
|---|--|--|---|------------------------------|---|-----------------|-----|---|--------------------|-------------|--|--------------------------------------|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Expiration D |     | Expiration Date An<br>(Month/Day/Year) Se<br>Un<br>De<br>Se |                    | e Amount of |  | Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                         | v | (A)             | (D) | Date<br>Exercisable   | Expiration<br>Date | Title       | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |  |  |

Explanation of Responses:

1. These shares were forfeited to satisfy tax obligations related to the vesting of the reporting person's restricted stock award.

## **Remarks:**

Ken Neikirk by power of

<u>attorney</u>

12/15/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.